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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/611,503	07/01/2003	Joann J. Ordille	502082-A-01-US (Ordille)	4423
7590 Ryan, Mason & Lewis, LLP Suite 205 1300 Post Road Fairfield, CT 06824			EXAMINER PHAN, JOSEPH T	
			ART UNIT 2614	PAPER NUMBER
SHORTENED STATUTORY PERIOD OF RESPONSE			MAIL DATE	DELIVERY MODE
3 MONTHS			04/23/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication:

# Office Action Summary

Application No.

10/611,503

Applicant(s)

ORDILLE ET AL.

Examiner

Joseph T. Phan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 31 January 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### 1. ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

**Claims 1-26 rejected under 35 U.S.C. 102(b) as being anticipated by  
Stevens, Patent #6,404,880.**

**Regarding claim 1, Stevens** teaches a method for providing a notification of an event, said method comprising the steps of:

receiving a communication(col.5 lines 15-30);

in response to said receipt of said communication, performing the following steps:

determining an originating endpoint address on a network associated with said received communication(*110 or 110A Fig.1 is originating endpoint address and col.5 lines 15-30*);

identifying one or more designated persons that have been previously designated for said originating endpoint address , wherein at least one of said designated persons is not a sender of said communication(*fig.2; subscriber subscribes to notification weather service and therefore has been previously designated as an endpoint address; the weather service sends the communication, not the subscriber*),

generating a notification message, and sending said notification message to said one or more designated persons(col.7 lines 30-55).

Regarding claim 2, Stevens teaches the method of claim 1, wherein said notification message is provided to said one or more designated persons in accordance with preference information specified by each of said one or more designated persons(Fig.2).

Regarding claim 3, Stevens teaches the method of claim 1, wherein content for said notification message is obtained substantially close in time to when said notification message is provided to said one or more designated persons(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 4, Stevens teaches the method of claim 2, wherein said preference information includes at least one media preference(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 5, Stevens teaches the method of claim 2, wherein said preference information. includes at least one human language type preference(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 6, Stevens teaches the method of claim 1 wherein said endpoint is identified based on a telephone number of a calling party associated with said communication(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 7, Stevens teaches the method of claim 1, wherein said endpoint is identified based on an address associated with said communication(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 8, Stevens teaches the method of claim 1, wherein said identifying, generating and sending steps are performed in response to said received

communication being placed to a specified telephone number(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 9, Stevens teaches the method of claim,1 , wherein said event is an emergency that has been reported to a receiver(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 10, Stevens teaches the method of claim 1, wherein said event is a telephone call that has been placed to a help desk(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 11, Stevens teaches the method of claim 1, further comprising the step of receiving at least one response to said notification message(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 12, Stevens teaches the method of claim 1, further comprising the step of receiving at least one status update from at least one of said one or more designated third persons(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 13, Stevens teaches the method of claim 1, further comprising the step of dispatching an appropriate response to said communication(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 14, Stevens teaches the method of claim 13, further comprising the step of receiving at least one status update from a person associated with said appropriate response(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 15, Stevens teaches the method of claim 1, further comprising the step of notifying at least one of said one or more designated third persons of a

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status update(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 16, Stevens teaches the method of claim 1, wherein said notification message is provided to said one or more designated third persons in accordance with a communication flow that describes whether each of said one or more designated third persons is notified based on a response from at least one other of said one or more designated persons(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

**Regarding claim 17, Stevens** teaches an apparatus for providing a notification of an event, comprising:

a memory and at least one processor, coupled to the memory(Fig.1), operative to:  
receive a communication(col.5 lines 15-30);

in response to said receipt of said communication, perform the following:

determining an originating endpoint address on a network associated with said received communication(*110 or 110A Fig.1 is originating endpoint address and col.5 lines 15-30*);

identifying one or more designated persons that have been previously designated for said originating endpoint address, wherein at least one of said designated persons is not a sender of said communication(*fig.1 and col.5 lines 15-44; subscriber subscribes to notification weather service and therefore has been previously designated as an endpoint address; the weather system sends the communication, not the subscriber*);

generate a notification message, and send said notification message to said one or more designated persons(col.7 lines 30-55).

Regarding claim 18, Stevens teaches the apparatus of claim 17, wherein said notification message is provided to said one or more designated persons in accordance with preference information specified by each of said one or more designated persons(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 19, Stevens teaches the apparatus of claim 17, wherein said endpoint is identified based on a telephone number of a calling party associated with said communication(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 20, Stevens teaches the apparatus of claim 17, wherein said endpoint is identified based on an address associated with said communication(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 21, Stevens teaches the apparatus of claim 17 wherein said event is an emergency that has been reported to a receiver(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 22, Stevens teaches the apparatus of claim 1.7, wherein said event is a telephone call that has been placed to a help desk(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 23, Stevens teaches the apparatus of claim 1.7, wherein said processor is further configured to receive at least one response to said notification message(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 24, Stevens teaches the apparatus of claim 17, wherein said processor is further configured to receive at least one status update(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

Regarding claim 25, Stevens teaches the apparatus of claim 17 wherein said processor is further configured to dispatch an appropriate response to said communication(Fig.2, col.2 lines 34-49, and col.3 lines 12-36).

**Regarding claim 26, Stevens** teaches an article of manufacture for providing a notification of an event(Fig.1), said article of manufacture comprising a machine readable medium containing one or more programs which when executed implement the steps of: receiving a communication(col.5 lines 15-30); in response to said receipt of said communication, performing the following steps:

determining an originating endpoint address on a network associated with said received communication(*110 or 110A Fig.1 is originating endpoint address and col.5 lines 15-30*);

identifying one or more designated persons that have been previously designated for said originating endpoint address, wherein at least one of said designated persons is not a sender of said communication(*fig.1 and col.5 lines 15-44; subscriber subscribes to notification weather service and therefore has been previously designated as an endpoint address; the weather system sends the communication, not the subscriber*);

generating a notification message and sending said notification message to each of said one or more designated persons(Fig.1 and col.7 lines 30-55).



***Response to Arguments***

2. Applicant's arguments with respect to claims 1-26 have been considered but are moot in view of the new ground(s) of rejection.

It is noted new line references and embodiment of weather alert notification are used in the above rejection and an explanation of teaching in Stevens is italicized. Applicant is welcomed to contact examiner if further explanation is needed.

***Conclusion***

3. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph T. Phan whose telephone number is (571) 272-7544. The examiner can normally be reached Mon-Fri 9am-6pm EST.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on (571) 272-7547. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JTP  
April 13, 2007



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